

# THE SYMPLECTIC IDEAL AND A DOUBLE CENTRALISER THEOREM

RUDOLF TANGE

**SUMMARY.** We interpret a result of S. Oehms as a statement about the symplectic ideal. We use this result to prove a double centraliser theorem for the symplectic group acting on  $\bigoplus_{r=0}^s \otimes^r V$ , where  $V$  is the natural module for the symplectic group. This result was obtained in characteristic zero by H. Weyl. Furthermore we use this to extend to arbitrary connected reductive groups  $G$  with simply connected derived group the earlier result of the author that the algebra  $K[G]^g$  of infinitesimal invariants in the algebra of regular functions on  $G$  is a unique factorisation domain.

## INTRODUCTION

Throughout this note  $k$  denotes an infinite field,  $K$  denotes the algebraic closure of  $k$ ,  $n$  and  $s$  are positive integers,  $V$  is the vector space  $k^n$  and  $\text{Mat}_n = \text{Mat}_n(k)$  is the  $k$ -algebra of  $n \times n$ -matrices acting on  $V$  as vector space endomorphisms. We denote the vector space  $\bigoplus_{r=0}^s \otimes^r V$  by  $T^{\leq s}(V)$ . A matrix  $u \in \text{Mat}_n$  acts on  $\otimes^s V$  by  $u(x_1 \otimes \cdots \otimes x_s) = u(x_1) \otimes \cdots \otimes u(x_s)$ . For a subset  $X$  of  $\text{Mat}_n$  we denote by  $\mathcal{E}^s(X)$  and  $\mathcal{E}^{\leq s}(X)$  the *enveloping algebra* of  $X$  in  $\text{End}_k(\otimes^s V)$  and  $\text{End}_k(T^{\leq s}(V))$  respectively, that is, the subalgebra generated by the endomorphisms corresponding to the elements of  $X$ . Let  $A$  be an associative  $k$ -algebra. The *centraliser algebra*<sup>1</sup> of a subalgebra  $B$  of  $A$  is defined as the subalgebra of  $A$  that consists of the elements of  $A$  that commute with all elements of  $B$ . We say that the *double centraliser theorem* holds for a subalgebra  $B$  of  $A$  if  $B$  is equal to the centraliser algebra of its centraliser algebra. We say that the double centraliser theorem holds for a subset  $X$  of  $\text{Mat}_n$  acting on  $\otimes^s V$  or  $T^{\leq s}(V)$  if it holds for the corresponding enveloping algebra.

Now assume that  $k = K = \mathbb{C}$  and let  $G$  be a subgroup of  $\text{GL}_n(\mathbb{C})$ . In [3] Brauer showed that, if the double centraliser theorem holds for  $G$ , one can determine defining equations for  $\mathcal{E}^s(G)$  by first determining the centraliser algebra. Determining the centraliser algebra turned out to be equivalent to a version of the first fundamental theorem of invariant theory for  $G$ .<sup>2</sup> His methods applied to any semisimple complex Lie subgroup  $G$  of  $\text{GL}_n(\mathbb{C})$  for which there is a first fundamental theorem of invariant theory, in particular to the symplectic group  $\text{Sp}_{2m}(\mathbb{C})$ . In these cases we have namely that all finite dimensional Lie group

<sup>1</sup>also called *commutating algebra*, *commutator algebra* or *commuting algebra*.

<sup>2</sup>In the case  $G = \text{GL}_n$  the centraliser algebra was already known and therefore yielded another proof of this version of the first fundamental theorem for  $\text{GL}_n$ . In characteristic zero one can deduce from this the general first fundamental theorem for  $\text{GL}_n$ . See [18] p.139.

representations of  $G$  are semisimple and the double centraliser theorem holds for semisimple subalgebras of  $\text{Mat}_n(\mathbb{C})$ .

Let  $I$  be the ideal of polynomial functions on  $\text{Mat}_{2m}$  that vanish on  $\text{Sp}_{2m}$ . In [18] Weyl showed that finding generators  $g_1, \dots, g_r$  of  $I$  which have the property that any  $f \in I$  can be expressed as  $f = \sum_i a_i g_i$  with  $\deg(a_i g_i) \leq \deg(f)$  for certain polynomial functions  $a_1, \dots, a_r$  on  $\text{Mat}_{2m}$ , is equivalent to determining defining equations for  $\mathcal{E}^{\leq s}(\text{Sp}_{2m})$ , for  $s$  arbitrary. Then he used Brauers method, which also applies to  $T^{\leq s}(V)$ , to determine such generators of  $I$ .

For the classical groups the first fundamental theorem of invariant theory has been generalised to positive characteristic by De Concini and Procesi in [6], but the algebras  $\mathcal{E}^s(G)$  and  $\mathcal{E}^{\leq s}(G)$ ,  $G$  classical, are no longer semisimple for all  $s$  in positive characteristic. In Section 1 and 3 of this note we will reverse Weyls procedure and show that we can easily derive the double centraliser theorem for  $\text{Sp}_{2m}$  acting on  $T^{\leq s}(V)$  once we have generators for the symplectic ideal with the abovementioned property. All this relies on work of Oehms, De Concini and Procesi and Berele.

In Section 2 we derive another consequence from the results on the symplectic ideal in Section 1. We show that for a connected reductive group  $G$  with simply connected derived group, the algebra  $K[G]^g$  of infinitesimal invariants in the algebra of regular functions on  $G$  is a unique factorisation domain.

## 1. THE SYMPLECTIC IDEAL AND THE SYMPLECTIC ENVELOPING ALGEBRA

We begin by considering the following property of a set of generators  $g_1, \dots, g_r$  of an ideal  $I$  in the polynomial ring  $k[x_1, \dots, x_n]$ :

*Any  $f \in I$  can be expressed as*

$$f = \sum_{i=1}^r a_i g_i \text{ with } \deg(a_i g_i) \leq \deg(f) \quad (1)$$

for certain  $a_1, \dots, a_r \in k[x_1, \dots, x_n]$ .

This property is related to the associated graded ideal for which we now introduce some notation. Let  $A$  be a commutative algebra over  $k$  with a filtration  $A_0 \subseteq A_1 \subseteq A_2 \dots$ . The associated graded algebra is denoted by  $\text{gr}(A)$ . If  $x \in A_i \setminus A_{i-1}$ , then we put  $\deg(x) = i$  and  $\text{gr}(x) = x + A_{i-1} \in \text{gr}(A)^i = A_i/A_{i-1}$ . For an ideal  $I$  of  $A$  we have  $\text{gr}(A/I) \cong \text{gr}(A)/\text{gr}(I)$ ; see [2], Chapter 3, § 2.4. In particular, when  $A$  is graded, we have  $\text{gr}(A/I) \cong A/\text{gr}(I)$ .

**Lemma 1.** *Let  $I$  be an ideal of the polynomial ring  $k[x_1, \dots, x_n]$  which is generated by the nonzero elements  $g_1, \dots, g_r$ . Then these generators have property (1) if and only if the elements  $\text{gr}(g_1), \dots, \text{gr}(g_r)$  generate the ideal  $\text{gr}(I)$ .*

*Proof.* Assume that  $g_1, \dots, g_r$  is set of generators of  $I$  which have property (1) and let  $\text{gr}(f)$  be a nonzero homogeneous element of  $\text{gr}(I)$ . Then  $f = \sum_{i=1}^r a_i g_i$  with  $\deg(a_i g_i) \leq \deg(f)$  for certain  $a_1, \dots, a_r \in k[x_1, \dots, x_n]$ . So  $\text{gr}(f) = \sum_i \text{gr}(a_i) \text{gr}(g_i)$  where the sum is over all indices  $i$  such that  $\deg(a_i g_i) = \deg(f)$ . Thus the  $\text{gr}(g_i)$  generate  $\text{gr}(I)$ . Now assume that the latter is the case and let  $f$  be a nonzero element of  $I$ . We can write  $\text{gr}(f) = \sum_{i=1}^r a_i \text{gr}(g_i)$  where  $a_i$  is

homogeneous of degree  $\deg(f) - \deg(g_i)$ . Then  $f - \sum_{i=1}^r a_i g_i$  is of strictly lower degree than  $f$  and we can finish by induction.  $\square$

The symmetric group  $\text{Sym}_r$  on  $s$  symbols acts on  $\otimes^r V$  via  $\pi \cdot (x_1 \otimes \cdots \otimes x_r) = x_{(\pi^{-1})_1} \otimes \cdots \otimes x_{(\pi^{-1})_r}$ . Denote the group algebra of  $\text{Sym}_r$  over  $k$  by  $k\langle \text{Sym}_r \rangle$ . The elements of  $\text{End}_{k\langle \text{Sym}_r \rangle}(\otimes^r V)$ , i.e. the  $k$ -linear endomorphisms of  $\otimes^r V$  commuting with the action of  $\text{Sym}_r$ , were called *bisymmetric substitutions* by Weyl. Clearly all enveloping algebras  $\mathcal{E}^r(X)$  consist of bisymmetric substitutions.

To state the proposition below correctly we need some auxiliary notation. For a subset  $S$  of a fixed finite dimensional  $k$ -vector space  $W$  we denote the  $k$ -algebra of *polynomial functions* on  $S$ , i.e. functions on  $S$  that are restrictions of polynomial functions on  $W$ , by  $k[S]$ . The algebra  $k[W]$  is a polynomial algebra in the elements of any basis of  $W^*$ , because  $k$  is infinite. In particular,  $k[\text{Mat}_n]$  is a polynomial algebra in the matrix entry functions.

Now take  $W = \text{Mat}_n$ . Clearly  $k[S]$  is isomorphic to  $k[\text{Mat}_n]/I$ , where  $I$  is the ideal of polynomial functions on  $\text{Mat}_n$  that vanish on  $S$ . The algebra  $k[S]$  inherits a filtration from  $k[\text{Mat}_n]$ . We denote the filtration subspace of index  $s$  by  $k[S]^{\leq s}$ . If  $S$  is closed under multiplication by nonzero scalars, then the ideal  $I$  will be homogeneous and  $k[S]$  is a graded algebra. We denote the graded subspace of index  $s$  by  $k[S]^s$ . If  $S$  is a submonoid of  $\text{Mat}_n$ , then  $I$  is a biideal and  $k[S]$  is a  $k$ -bialgebra. The subspace  $k[S]^{\leq s}$  is then a subcoalgebra of  $k[S]$  and if  $S$  contains the nonzero multiples of the identity, then  $k[S]^s$  is a subcoalgebra of  $k[S]$ . We denote the closure of a subset  $S$  of  $\text{Mat}_n$  under multiplication by nonzero scalars by  $k^\times S$ . Note that in this notation  $k[\text{GL}_n]$  is equal to  $k[\text{Mat}_n]$  and not to  $k[\text{Mat}_n][\det^{-1}]$ .

After Proposition 1 we will only use this notation in the following situation where it is in accordance with the standard notation. If  $X$  is a  $k$ -defined closed subvariety of  $W(K) := K \otimes_k W$  such that the set  $S$  of  $k$ -defined points in  $X$  is dense in  $X$ , then  $k[S]$  in the notation here is naturally isomorphic to the algebra  $k[X]$  of regular functions on  $X$  that are defined over  $k$ . This applies for example to the symplectic group  $\text{Sp}_n$  as we shall see later. The next proposition is essentially due to Schur and Weyl.

**Proposition 1.** *Let  $M$  be a submonoid of  $\text{Mat}_n$ . Then*

- (i) *the natural map  $\mathcal{E}^s(M)^* \rightarrow k[k^\times M]^s$  is an isomorphism of coalgebras.*
- (ii) *the natural map  $\mathcal{E}^{\leq s}(M)^* \rightarrow k[M]^{\leq s}$  is an isomorphism of coalgebras.*
- (iii)  $\mathcal{E}^s(\text{GL}_n) = \text{End}_{k\langle \text{Sym}_s \rangle}(\otimes^s V)$ .
- (iv)  $\mathcal{E}^{\leq s}(\text{GL}_n) = \text{End}_{k\langle \mathfrak{S} \rangle}(T^{\leq s}(V))$ , where  $\mathfrak{S} = 1 \times 1 \times \text{Sym}_2 \times \cdots \times \text{Sym}_s$ .

*Proof.* The natural map in (ii) composes  $f \in \mathcal{E}^{\leq s}(M)^*$  with the monoid homomorphism  $M \rightarrow \mathcal{E}^{\leq s}(M)$ . The result is clearly a polynomial function on  $M$  of degree  $\leq s$ . That the natural map is injective follows from the fact that endomorphisms of  $T^{\leq s}(V)$  that represent the elements of  $M$  span  $\mathcal{E}^{\leq s}(M)$ , since  $M$  is a submonoid of  $\text{Mat}_n$ .

The algebra  $\mathcal{E}^s(M)$  is equal to  $\mathcal{E}^s(k^\times M)$ , since  $\alpha \cdot \text{id} \in \text{Mat}_n$  is represented by  $\alpha^\times \cdot \text{id}$  on  $\otimes^s V$ . So in the case of (i) we may assume that  $M$  contains the nonzero multiples of the identity. The injectivity of the natural map, which composes  $f \in \mathcal{E}^s(M)^*$  with  $M \rightarrow \mathcal{E}^s(M)$ , now follows as in (ii).

By considering the commutative diagram below and its graded version in case  $M$  contains the multiples of the identity, we see that it is now sufficient to prove (i) and (ii) for  $M = \text{Mat}_n$ , since the two vertical arrows are surjective morphisms of coalgebras.

$$\begin{array}{ccc} \mathcal{E}^{\leq s}(\text{Mat}_n)^* & \longrightarrow & k[\text{Mat}_n]^{\leq s} \\ \downarrow & & \downarrow \\ \mathcal{E}^{\leq s}(M)^* & \longrightarrow & k[M]^{\leq s} \end{array} \quad (2)$$

We first consider the natural map of (i) for  $M = \text{GL}_n$ . To avoid confusion, we will write  $k[\text{Mat}_n]$  instead of  $k[\text{GL}_n]$ , since these algebras are canonically isomorphic. It comes from the natural map  $\text{End}_k(\otimes^s V)^* \rightarrow k[\text{Mat}_n]^s$ . Let  $I = I(n, s) = \{1, \dots, n\}^s$  as in [11, 2.1]. We have a standard basis  $(E_{i,j})_{(i,j) \in I \times I}$  of  $\text{End}_k(\otimes^s V)$ . Our map, maps the element  $E_{i,j}^*$  of the dual basis to Green's  $c_{i,j}$ . The  $c_{i,j}$  form a basis when we pass to  $(I \times I)/\sim$ , where  $\sim$  is the equivalence relation given by the action of the  $\text{Sym}_s$  on  $I \times I$  defined in [11, 2.1]. Now we form the dual basis  $c_{\overline{(i,j)}}^*$  and define  $c_{i,j}^* = c_{\overline{(i,j)}}^*$ . Here  $\overline{(i,j)}$  denotes the canonical image of  $(i,j)$  in  $(I \times I)/\sim$ . Then the transpose of our map maps  $c_{i,j}^*$  to  $\sum_{(k,l) \sim (i,j)} E_{k,l}$ , which is precisely what Green's map  $(k[\text{Mat}_n]^s)^* \rightarrow \text{End}_k(\otimes^s V)$  does. Assertion (i) for  $\text{GL}_n$  and (iii) now follow from [11, 2.6]. Note that (iii) shows that  $\mathcal{E}^s(\text{Mat}_n) = \mathcal{E}^s(\text{GL}_n)$ .

Now we consider the natural map of (ii) for  $M = \text{GL}_n$ . We have seen that it is injective. It is also surjective, since for any polynomial function  $f$  on  $\text{GL}_n$  of degree  $\leq s$  we can find an element of  $\text{End}(T^{\leq s}(V))^* \cong T^{\leq s}(\text{Mat}_n^*)$  that is mapped to  $f$ . We have  $\mathcal{E}^{\leq s}(\text{GL}_n) \subseteq \bigoplus_{r=0}^s \mathcal{E}^r(\text{GL}_n)$ . Since the natural maps of (i) and (ii) for  $M = \text{GL}_n$  are bijective we must have equality. It is now also clear that (ii) is an isomorphism of coalgebras.

Since the image of  $k\langle\mathfrak{S}\rangle$  in  $\text{End}_k(T^{\leq s}(V))$  contains the projections given by the direct sum decomposition of  $T^{\leq s}(V)$ , we have that  $\text{End}_{k\langle\mathfrak{S}\rangle}(T^{\leq s}(V))$  is equal to  $\bigoplus_{r=0}^s \text{End}_{k\langle\text{Sym}_r\rangle}(\otimes^r V)$ : it consists of  $(s+1)$ -tuples of "bisymmetric substitutions". So (iv) now follows from (iii) and it is now also clear that  $\mathcal{E}^{\leq s}(\text{GL}_n) = \mathcal{E}^{\leq s}(\text{Mat}_n)$ .  $\square$

**Remarks 1.** 1. Assertion (iv) of Proposition 1 was proved in a different way by Weyl, see [18, Thm. 4.4.E].

2. Proposition 1 shows that  $\mathcal{E}^{\leq s}(M)$  only depends on the ideal  $I$  of polynomial functions that vanish on  $M$  and that  $\mathcal{E}^s(M)$  only depends on the biggest homogeneous ideal contained in  $I$ , i.e. the ideal generated by the homogeneous polynomial functions on  $\text{Mat}_n$  that vanish on  $M$ .

3. Assume that  $k = K$  is algebraically closed. Let  $G$  be a closed subgroup of  $\text{GL}_n$  and let  $A$  be the algebra of polynomial functions on  $G$ . Then the representations of the algebra  $\mathcal{E}^{\leq s}(G) = (A^{\leq s})^*$  are precisely the rational representations of  $G$  whose coefficients are polynomial functions on  $G$  that are of filtration degree  $\leq s$ . If  $G$  contains the nonzero multiples of the identity, then the representations of the algebra  $\mathcal{E}^s(G) = (A^s)^*$  are precisely the rational representations of  $G$  whose coefficients are homogeneous polynomial functions on

$G$  that are of degree  $s$ . In case  $G = \mathrm{GL}_n$ ,  $(A^s)^*$  is the well-known *Schur algebra* denoted by  $S_K(n, s)$  in [11]. Similar remarks apply to a closed submonoid  $M$  of  $\mathrm{Mat}_n$ . Then the coefficients of a rational representation of  $M$  are automatically polynomial, since all regular functions on  $M$  are polynomial.

We now come to the problem of finding defining equations for the enveloping algebras  $\mathcal{E}^s(M)$  and  $\mathcal{E}^{\leq s}(M)$ . We are only interested in defining equations within  $\mathcal{E}^s(\mathrm{Mat}_n)$  and  $\mathcal{E}^{\leq s}(\mathrm{Mat}_n)$ . By Proposition 1(iii) and (iv) one can then obtain a complete set of defining equations (within  $\mathrm{End}_k(\otimes^s V)$  and  $\bigoplus_{r=0}^s \mathrm{End}_k(\otimes^r V)$ ) by adding the equations of "bisymmetry". See [3, (30)] or [18, III (6.8)]. Statement (i) of the next corollary is a formalisation of an idea of Weyl; see [18, p 142].

**Corollary.** *Let  $M$  be a submonoid of  $\mathrm{Mat}_n$ , let  $I$  be the ideal of polynomial functions on  $\mathrm{Mat}_n$  that vanish on  $M$  and let  $I_{\mathrm{hom}}$  be the biggest homogeneous ideal contained in  $I$ . Furthermore, let  $g_1, \dots, g_k$  be nonzero elements of  $I$  and let  $h_1, \dots, h_l$  be nonzero homogeneous elements of  $I_{\mathrm{hom}}$ . Denote the isomorphism  $k[\mathrm{Mat}_n]^{\leq s} \rightarrow \mathcal{E}^{\leq s}(\mathrm{Mat}_n)^*$  by  $\eta$  and the isomorphism  $k[\mathrm{Mat}_n]^s \rightarrow \mathcal{E}^s(\mathrm{Mat}_n)^*$  by  $\theta$ . Then*

- (i) *The elements  $g_1, \dots, g_k$  are generators of  $I$  with property (1) if and only if for each  $s \geq 1$  the functionals  $\eta(g_i m_i)$ , where the  $m_i$  are arbitrary monomials in the matrix entries of degree  $\leq s - \deg(g_i)$ , define the algebra  $\mathcal{E}^{\leq s}(M)$ .*
- (ii) *The elements  $h_1, \dots, h_l$  are generators of  $I_{\mathrm{hom}}$  if and only if for each  $s \geq 1$  the functionals  $\theta(h_i m_i)$ , where the  $m_i$  are arbitrary monomials in the matrix entries of degree  $s - \deg(h_i)$ , define the algebra  $\mathcal{E}^s(M)$ .*

*Proof.* Note that  $I$  is a proper ideal, since  $M$  is nonempty. Denote the subspace of  $I$  that consists of the elements of  $I$  of degree  $\leq s$  by  $I^{\leq s}$ . Then the  $g_i$  are generators of  $I$  with property (1) if and only if for each  $s \geq 1$  the polynomials  $g_i m_i$ , where the  $m_i$  are arbitrary monomials in the matrix entries of degree  $\leq s - \deg(g_i)$ , span  $I^{\leq s}$ . Assertion (i) now follows from the fact that, because of the commutativity of (2),  $\eta$  maps  $I^{\leq s}$  to the space of linear functionals on  $\mathcal{E}^{\leq s}(\mathrm{Mat}_n)$  that vanish on  $\mathcal{E}^{\leq s}(M)$ . Assertion (ii) is proved in a similar way.  $\square$

**Remark.** In [8, Thm.'s 9.5a) and 10.5a)] Doty determines generators for the defining ideals of the symplectic and orthogonal monoids in characteristic zero by a method which is essentially assertion (ii) of the above corollary. In characteristic zero one can namely use the defining equations of the symplectic and orthogonal enveloping algebras in  $\mathrm{End}_k(\otimes^s V)$  as obtained by Brauer in [3, (46),(47)].

In the remainder of this note we assume that  $n = 2m$  is an even integer. We first introduce some notation for the symplectic group which closely follows that of [14]. Let  $i \mapsto i'$  be the involution of  $\{1, \dots, n\}$  defined by  $i' := n + 1 - i$ . Set  $\epsilon_i = 1$  if  $i \leq m$  and  $\epsilon_i = -1$  if  $i > m$  and define the  $n \times n$ -matrix  $J$  with coefficients in  $k$  by  $J_{ij} = \delta_{ij'} \epsilon_i$ . So

$$J = \begin{bmatrix} & & & 1 \\ & 0 & & \cdot \\ & & 1 & \\ & & -1 & \\ & \cdot & & 0 \\ -1 & & & \end{bmatrix}.$$

On  $V$  we define the nondegenerate symplectic form  $\langle \cdot, \cdot \rangle$  by

$$\langle u, v \rangle := u^T J v = \sum_{i=1}^n \epsilon_i u_i v_{i'}.$$

The *symplectic group*  $\mathrm{Sp}_n = \mathrm{Sp}_n(k)$  is defined as the set of  $n \times n$ -matrices over  $k$  that satisfy  $A^T J A = J$ , i.e. the matrices for which the corresponding endomorphism of  $V$  preserves the form  $\langle \cdot, \cdot \rangle$ . Clearly those matrices are invertible and  $\mathrm{Sp}_n$  is a subgroup of  $\mathrm{GL}_n$ . Furthermore  $\mathrm{Sp}_n(K)$  is an algebraic group which is connected, semisimple and defined and split over the prime field. This implies that all root subgroups  $U_\alpha(K)$  with respect to some  $k$ -split maximal torus are defined over  $k$ . Since clearly  $U_\alpha(k)$  is dense in  $U_\alpha(K)$ , we must have that  $\mathrm{Sp}_n$  is dense in  $\mathrm{Sp}_n(K)$ .

Note that  $A^T J A$  consists of the scalar products of the columns of  $A$  with each other and that  $A J A^T$  consists of the scalar products of the rows of  $A$  with each other. An easy calculation shows that the condition  $A^T J A = J$  is equivalent to the condition  $A J A^T = J$ . We denote the  $(i, j)^{\text{th}}$  entry function on  $\mathrm{Mat}_n$  by  $x_{ij}$ . Define

$$g_{ij} := \sum_{l=1}^n \epsilon_l x_{li} x_{l'j} \quad \text{and} \quad \bar{g}_{ij} := \sum_{l=1}^n \epsilon_l x_{il} x_{jl'}. \quad (3)$$

The condition  $A^T J A = J$  means that we require the functions  $g_{ij} - \delta_{ij'} \epsilon_i$ ,  $i < j$ , to vanish on  $A$ . The condition  $A J A^T = J$  means that we require the functions  $\bar{g}_{ij} - \delta_{ij'} \epsilon_i$ ,  $i < j$ , to vanish on  $A$ . We call the ideal of polynomial functions on  $\mathrm{Mat}_n$  that vanish on  $\mathrm{Sp}_n$  the *symplectic ideal*. It is well known that both sets of functions separately generate the symplectic ideal in case  $k$  is algebraically closed. In view of the density of  $\mathrm{Sp}_n$  in  $\mathrm{Sp}_n(K)$  this must then also hold for an arbitrary infinite field  $k$ .

In the sequel we will also need the *symplectic monoid*  $\mathrm{SpM}_n$  and the *symplectic similitude group*  $\mathrm{GSp}_n$  as introduced in [8]; see also [14] and [9]. The symplectic monoid  $\mathrm{SpM}_n$  is defined as the set of matrices  $A$  for which there exists a scalar  $d(A) \in k$  such that  $A^T J A = A J A^T = d(A)J$ . Note that if  $d(A) \neq 0$ ,  $A^T J A = d(A)J$  is equivalent to  $A J A^T = d(A)J$ . Clearly  $\mathrm{SpM}_n$  is a submonoid of  $\mathrm{Mat}_n$ , in fact it is the set of  $k$ -defined points of  $\mathrm{SpM}_n(K)$  which is a  $k$ -defined closed submonoid of  $\mathrm{Mat}_n(K)$ . This follows from [14, Cor. 6.2]. The function  $d$  is a polynomial function on  $\mathrm{SpM}_n$ , it is called the *coefficient of dilation*. We have for all  $i \in \{1, \dots, n\}$

$$d = \epsilon_i g_{ii'} = \epsilon_i \bar{g}_{ii'} \quad \text{on } \mathrm{SpM}_n.$$

The *symplectic similitude group*  $\mathrm{GSp}_n$  is defined as the group of invertible elements in  $\mathrm{SpM}_n$ . We have  $\mathrm{GSp}_n(K) = K^\times \mathrm{Sp}_n(K)$  and  $\mathrm{GSp}_n$  is Zariski dense in  $\mathrm{GSp}_n(K)$  and  $\mathrm{SpM}_n(K)$ . So a polynomial function on  $\mathrm{Mat}_n$  vanishes on  $\mathrm{GSp}_n$  if and only if it vanishes on  $\mathrm{SpM}_n$  and the ideal of  $k[\mathrm{Mat}_n]$  that consists of these functions is the biggest homogeneous ideal contained in the symplectic ideal.

We will now give defining equations for  $\mathcal{E}^{\leq s}(\mathrm{Sp}_n)$ . As stated before the Corollary to Proposition 1, we are only interested in defining equations within the algebra  $\mathcal{E}^{\leq s}(\mathrm{Mat}_n)$ . This algebra consists of  $(s+1)$ -tuples  $(A_0, \dots, A_s)$  of endomorphisms with  $A_r \in \mathrm{End}_k(\otimes^r V)$  bisymmetric for all  $r \in \{0, \dots, s\}$ . The standard basis  $(e_1, \dots, e_n)$  of  $V = k^n$  gives bases for the vector spaces  $\otimes^r V$ . The entry of index  $((i_1, \dots, i_r), (j_1, \dots, j_r))$  of the matrix of  $A_r$  with respect to these bases is denoted by  $a_{i_1 \dots i_r, j_1 \dots j_r}$ .

The first statement in (i) of the theorem below was already remarked by S. Oehms [14, p 38].

**Theorem 1.** *The following holds*

- (i)  *$\mathrm{gr}(I)$  is generated by the elements  $g_{ij}$  and  $\bar{g}_{ij}$ ,  $i < j$ . Furthermore,  $\mathrm{gr}(k[\mathrm{Sp}_n])$  is isomorphic to the algebra of polynomial functions on the set of  $n \times n$ -matrices over  $k$  whose row and column space are totally singular and this algebra is an integral domain.*
- (ii) *The sets of elements  $g_{ij} - \delta_{ij'}\epsilon_i$  and  $\bar{g}_{ij} - \delta_{ij'}\epsilon_i$ ,  $i < j$ , form together a set of generators of the symplectic ideal with property (1).*
- (iii) *The symplectic enveloping algebra in  $\mathrm{End}_k(T^{\leq s}(V))$  is defined by the following equations for  $r = 2, \dots, s$*

$$\sum_{l=1}^n \epsilon_l a_{ll'i_3 \dots i_r, j_1 \dots j_r} = \delta_{j_1, j'_2} \epsilon_{j_1} a_{i_3 \dots i_r, j_3 \dots j_r}, \quad (4)$$

$$\sum_{l=1}^n \epsilon_l a_{i_1 \dots i_r, ll'j_3 \dots j_r} = \delta_{i_1, i'_2} \epsilon_{i_1} a_{i_3 \dots i_r, j_3 \dots j_r}. \quad (5)$$

*Proof.* (i). Let  $J$  be the ideal of  $k[\mathrm{Mat}_n]$  generated by the elements  $g_{ij}$  and  $\bar{g}_{ij}$ ,  $i < j$ . Then the zero set of  $J$  is the set of  $n \times n$ -matrices over  $k$  whose row and column space are totally singular. It is also the zero set of  $d$  in  $\mathrm{SpM}_n$ . In fact it follows from [14, Cor. 6.2] that  $k[\mathrm{SpM}_n]/(d) \cong k[\mathrm{Mat}_n]/J$ . This result also implies that  $k[\mathrm{SpM}_n]/(d-1) \cong k[\mathrm{Mat}_n]/I = k[\mathrm{Sp}_n]$ . Since  $d$  is nonzero and homogeneous of degree  $2 > 0$ , we have  $\mathrm{gr}(d-1) = d$  and  $\mathrm{gr}((d-1)) = (d)$ . Therefore

$$k[\mathrm{Mat}_n]/J \cong k[\mathrm{SpM}_n]/(d) \cong \mathrm{gr}(k[\mathrm{Sp}_n]) \cong k[\mathrm{Mat}_n]/\mathrm{gr}(I).$$

Since the composite is the epimorphism given by the inclusion  $J \subseteq \mathrm{gr}(I)$ , we must have  $J = \mathrm{gr}(I)$ .

Let  $\mathcal{V}$  be the variety of  $n \times n$ -matrices over  $K$  whose row and column space are totally singular. Then we have an epimorphism  $K[\mathrm{SpM}_n]/(d) \twoheadrightarrow K[\mathcal{V}]$  of graded  $K$ -algebras. To show that it is an isomorphism it suffices to show that for each  $l$  the dimensions of the graded pieces of degree  $l$  of both algebras are equal. By [14, Thm. 6.1] the  $l^{\text{th}}$  graded piece of  $K[\mathrm{SpM}_n]/(d)$  has a basis consisting of all bideterminants  $(T_1 \mid T_2)$ , where  $T_1$  and  $T_2$  are symplectic standard tableaux

in the sense of King of the same shape  $\lambda$  which is a partition of  $l$  with at most  $m$  parts (notation:  $\lambda \vdash_m l$ ). So its dimension is  $\sum_{\lambda \vdash_m l} N_\lambda^2$ , where  $N_\lambda$  is the number of symplectic standard tableaux in the sense of King of shape  $\lambda$ . By [5, Thm. 6.1] the  $l^{\text{th}}$  graded piece of  $K[\mathcal{V}]$  has a basis consisting of all bideterminants  $(T_1|T_2)$ , where  $T_1$  and  $T_2$  are symplectic standard tableaux in the sense of De Concini of the same shape  $\lambda \vdash_m l$ .<sup>3</sup> So its dimension is  $\sum_{\lambda \vdash_m l} N'_\lambda$ , where  $N'_\lambda$  is the number of symplectic standard tableaux in the sense of De Concini of shape  $\lambda$ . Let  $Y(\lambda)$  be the irreducible  $\text{Sp}_n(\mathbb{C})$ -module associated to  $\lambda \vdash_m l$ . Then  $N_\lambda = \dim(Y(\lambda)) = N'_\lambda$ , by [1] or [7, Thm. 2.3b] and [5, Thm.'s 4.8, 4.10, Prop. 4.10]. Note that we now also know that  $\mathcal{V}$  is defined over  $k$ .

We will now show that  $\mathcal{V}$  is irreducible. Let  $\mathcal{V}_0$  be the set of  $n \times n$ -matrices whose row and column space lie in the  $K$ -span  $V_0$  of  $e_1, \dots, e_m$ . Note that  $V_0$  is a maximal totally singular subspace of  $V(K) = K^n$  and that  $\mathcal{V}_0$  is a  $k$ -defined vector subspace of  $\text{Mat}_n(K)$ , where  $\text{Mat}_n(K)$  has the standard  $k$ -structure. Now consider the morphism  $\text{Sp}_n(K) \times \mathcal{V}_0 \times \text{Sp}_n(K) \rightarrow \text{Mat}_n(K)$  given by  $(A, S, B) \mapsto ASB$ . Clearly its image lies in  $\mathcal{V}$  and by Witt's Lemma its image is equal to  $\mathcal{V}$ . Since  $\text{Sp}_n(K)$  and  $\mathcal{V}_0$  are irreducible we must have that  $\mathcal{V}$  is irreducible. Furthermore the set of  $k$ -defined points is dense in  $\mathcal{V}$ , since this holds for  $\text{Sp}_n(K)$  and  $\mathcal{V}_0$  and our morphism is defined over  $k$ . Note that, since  $K[\mathcal{V}]$  is an integral domain,  $d$  generates a prime ideal in  $K[\text{SpM}_n]$  and therefore also in  $k[\text{SpM}_n]$ .

(ii). This follows from (i) and Lemma 1.

(iii). This follows immediately from (ii) and (i) of the Corollary to Proposition 1.  $\square$

**Remarks 2.** 1. The equations in (iii) are the same as those obtained by Weyl [18, p 174] in characteristic zero.

2. The equations for  $\mathcal{E}^s(\text{Sp}_n)$  that Brauer [3, (47)] found in characteristic zero also define this algebra in positive characteristic. This can be shown as follows. Let  $I$  be the symplectic ideal. Then [14, Cor. 6.2] gives homogeneous generators of  $I_{\text{hom}}$ .<sup>4</sup> Here one can avoid the FRT-construction by simply taking [14, (13)] as the definition of  $A_R^s(n)$ . The stability under base change is then trivial and the proofs of [14, Thm 6.1 and Cor. 6.2] still apply. Now (ii) of the Corollary to Proposition 1 gives the following equations for  $\mathcal{E}^s(\text{Sp}_n)$  within  $\mathcal{E}^s(\text{Mat}_n)$ :

$$\delta_{i_1, i'_2} \epsilon_{i_1} \sum_{l=1}^n \epsilon_l a_{ll'i_3 \dots i_r, j_1 \dots j_r} = \delta_{j_1, j'_2} \epsilon_{j_1} \sum_{l=1}^n \epsilon_l a_{i_1 \dots i_r, ll'j_3 \dots j_r}.$$

## 2. INFINITESIMAL INVARIANTS IN $K[G]$

In this section we assume that  $k = K$  is algebraically closed. Furthermore,  $G$  is a connected reductive algebraic group over  $K$  and  $\mathfrak{g} = \text{Lie}(G)$  is its Lie algebra. The conjugation action of  $G$  on itself induces an action of  $G$  on  $K[G]$ , the algebra of regular functions on  $G$ . We will refer to this action and its derived

<sup>3</sup>De Concini uses different terminology, but it is clear that his condition of symplectic standardness is imposed on  $T_1$  and  $T_2$  separately.

<sup>4</sup>In [14, (14)],  $f_{ll'} - f_{kk'}$  should be replaced by  $\epsilon_k f_{ll'} - \epsilon_l f_{kk'}$  (or by  $\epsilon_l f_{ll'} - \epsilon_k f_{kk'}$ ).

$\mathfrak{g}$ -action as *conjugation* actions. The conjugation action of  $G$  on  $K[G]$  is by algebra automorphisms, so the conjugation action of  $\mathfrak{g}$  on  $K[G]$  is by derivations. This implies that the space  $K[G]^{\mathfrak{g}} = \{f \in K[G] \mid x \cdot f = 0 \text{ for all } x \in \mathfrak{g}\}$  of *infinitesimal invariants* is a subalgebra of  $K[G]$ . Note that  $K[G]^G \subseteq K[G]^{\mathfrak{g}}$  and that  $K[G]^p \subseteq K[G]^{\mathfrak{g}}$  if  $K$  is of characteristic  $p > 0$ .

**Theorem 2.** *Assume that  $K$  is of characteristic  $p > 0$  and that the derived group of  $G$  is simply connected. Then the invariant algebra  $K[G]^{\mathfrak{g}}$  is a unique factorisation domain. Its irreducible elements are the irreducible elements of  $K[G]$  that are invariant under  $\mathfrak{g}$  and the  $p$ -th powers of the irreducible elements of  $K[G]$  that are not invariant under  $\mathfrak{g}$ .*

*Proof.* By Remark 3 in [16] we have to prove Proposition 1 in that paper for  $\mathrm{Sp}_n$ . In fact we may assume that  $n \geq 2$  and that  $K$  is of characteristic 2, but we will not use this. The statement of Proposition 1 in [16] is:

*Let  $f \in K[G]$  be a regular function. If the ideal  $K[G]f$  is stable under the conjugation action of  $\mathfrak{g}$  on  $K[G]$ , then  $f$  is  $\mathfrak{g}$ -invariant.*

By Proposition 2 and Lemma 2 in [16] it is sufficient to show that, for the filtration that  $K[\mathrm{Sp}_n]$  inherits from  $K[\mathrm{Mat}_n]$ , the associated graded algebra is an integral domain. The point is that one can then use a (filtration) degree argument. The result now follows immediately from Theorem 1(ii).  $\square$

**Proposition 2.** *The algebras  $K[\mathrm{Sp}_n]$ ,  $K[\mathrm{GSp}_n]$  and  $K[\mathrm{SpM}_n]$  are unique factorisation domains.*

*Proof.* Since the derived group  $D\mathrm{GSp}_n$  of  $\mathrm{GSp}_n$  equals  $\mathrm{Sp}_n$  which is simply connected, we have by [16, Cor. to Thm. 1] that  $K[\mathrm{Sp}_n]$  and  $K[\mathrm{GSp}_n]$  are UFD's. We have  $K[\mathrm{SpM}_n][d^{-1}] = K[\mathrm{GSp}_n]$  which is a UFD. Furthermore  $d$  generates a prime ideal in  $K[\mathrm{SpM}_n]$  by the proof of Theorem 1(i). So  $K[\mathrm{SpM}_n]$  is a UFD by Nagata's Lemma; see e.g. [10, Lemma 19.20].  $\square$

### 3. A DOUBLE CENTRALISER THEOREM FOR THE SYMPLECTIC GROUP

We begin by defining a version of the symplectic Brauer algebra. For each integer  $r \leq s$  we fix  $r$  vector symbols  $x_1, \dots, x_r$  and  $r$  covector symbols  $y_1, \dots, y_r$ .<sup>5</sup> To ease notation we did not give these symbols the extra index  $r$  that indicates to which integer  $r \leq s$  they are associated. In fact one may, as the notation suggests, take the  $r$ -symbols as the first  $r$  of the  $s$ -symbols. Let  $u$  and  $v$  be nonnegative integers  $\leq s$  such that  $u \equiv v \pmod{2}$ , that is, such that  $u + v$  is even. A  $(u, v)$ -diagram is a matching of the  $u + v$  symbols  $y_1, \dots, y_u, x_1, \dots, x_v$  in pairs. Such a diagram is depicted as a graph whose vertices are arranged in two rows. The top row consists of  $u$  vertices representing, from left to right, the  $u$  covector symbols  $y_1, \dots, y_u$  and the bottom row consists of  $v$  vertices representing the  $v$  vector symbols  $x_1, \dots, x_v$ . An empty row is represented by  $\emptyset$ . Two vertices are joined if the corresponding symbols are matched. So for example

and

(6)

<sup>5</sup>elements of  $V$  are called *vectors* and elements of  $V^*$  are called *covectors*.

are a  $(5, 3)$  and a  $(0, 2)$ -diagram.

If an edge  $e$  in a  $(u, v)$ -diagram is horizontal, then its left endpoint is called its *initial point* and its right endpoint is called its *terminal point*. If  $e$  is not horizontal, then its endpoint in the top row is called its initial point and its endpoint in the bottom row is called its terminal point.

Let  $t \in k$ . We now define the  $k$ -algebra  $\mathfrak{B}_{\leq s}(t)$ . It has all  $(u, v)$ -diagrams,  $0 \leq u, v \leq s$ ,  $u \equiv v \pmod{2}$ , as a  $k$ -basis and its dimension is  $\sum_{u=1}^s \sum_{v=1}^s N_{uv}$ , where

$$N_{uv} = \begin{cases} (u+v-1)(u+v-3)\cdots 3 \cdot 1 = \frac{(u+v)!}{2^{(u+v)/2}((u+v)/2)!} & \text{if } u \equiv v \pmod{2}, \\ 0 & \text{otherwise.} \end{cases}$$

The multiplication of diagrams is defined as follows. Let  $D_{uv}$  be a  $(u, v)$ -diagram and let  $D_{u'v'}$  be a  $(u', v')$ -diagram. Then we define

$$D_{uv} D_{u'v'} = \begin{cases} \text{sgn}(D_{uv}, D_{u'v'}) t^{\gamma(U)} D_{uv'} & \text{if } v = u', \\ 0 & \text{if } v \neq u'. \end{cases}$$

Here, as in [3] and [12],  $U$  is the graph which is obtained by putting  $D_{uv}$  on top of  $D_{u'v'}$  and identifying the vertices of the bottom row of  $D_{uv}$  with those of the top row of  $D_{u'v'}$ ,  $\gamma(U)$  is the number of cycles in  $U$  and  $D_{uv'}$  is the  $(u, v')$ -diagram obtained from  $U$  by taking the vertices from top and bottom row in  $U$  and matching two vertices if there is a path between them in  $U$ .

Our definition of the sign  $\text{sgn}(D_{uv}, D_{u'v'})$  of  $D_{uv}$  over  $D_{u'v'}$  does not agree with [12, p 411]. The graph  $U$  consists of  $(u+v')/2$  paths and  $\gamma(U)$  cycles that are of even length and consist entirely of edges in the middle row of  $U$ . A path in  $U$  either consists of one horizontal edge in the top or bottom row of  $U$  or it has precisely two non-horizontal edges and all its horizontal edges are in the middle row of  $U$ . A path of even length has one endpoint in the top row of  $U$  and the other endpoint in the bottom row of  $U$ . A path of odd length has its endpoints both in the top row of  $U$  or both in the bottom row of  $U$ .

Every path  $P$  has a "natural" orientation. If  $P$  has even length, then the endpoint in the top row is the initial point and the endpoint in the bottom row is the terminal point. If  $P$  has odd length, then the leftmost endpoint of  $P$  is the initial point and the other endpoint is the terminal point. For a path  $P$  or an oriented cycle  $P$  we denote the number of edges of  $P$  in the middle row of  $U$  that are traversed from left to right by  $p_{lr}$  and the number of edges of  $P$  in the middle row of  $U$  that are traversed from right to left by  $p_{rl}$ . For a cycle or a path  $P$  we define

$$\text{sgn}(P) = \begin{cases} (-1)^{|p_{lr}-p_{rl}|/2} & \text{if } p_{lr} + p_{rl} \text{ is even,} \\ (-1)^{|p_{lr}-p_{rl}-1|/2} & \text{if } p_{lr} + p_{rl} \text{ is odd.} \end{cases}$$

Note that for a cycle this does not depend on the orientation. We now define  $\text{sgn}(D_{uv}, D_{u'v'})$  as the product of the signs of all paths and cycles in  $U$ .

Below we will define a natural representation of  $\mathfrak{B}_{\leq s}(n)$  which is the motivation for the definition of the multiplication. We will see later that the multiplication of  $\mathfrak{B}_{\leq s}(t)$  is associative.

With each  $(u, v)$ -diagram  $D$  we can associate a  $(u + v)$ -multilinear function  $F(D)$  on  $\bigoplus^u V^* \oplus \bigoplus^v V$  as follows. First we observe that the form  $\langle \cdot, \cdot \rangle$  defines an isomorphism  $V \cong V^*$ , unique up to sign, and therefore a unique symplectic form on  $V^*$  which we also denote by  $\langle \cdot, \cdot \rangle$ . Furthermore we put  $\langle y, x \rangle = y(x) = -\langle x, y \rangle$  for  $y \in V^*$  and  $x \in V$ . If  $e$  is an edge in  $D$  whose initial point has label  $z_1$  and whose terminal point has label  $z_2$ , then we put  $\langle e \rangle = \langle z_1, z_2 \rangle$ . Now we define

$$F(D) = \prod_{e \in D} \langle e \rangle. \quad (7)$$

For example, for the first diagram  $D$  in (6) we have

$$F(D) = \langle y_1, x_2 \rangle \langle y_2, y_5 \rangle \langle y_3, y_4 \rangle \langle x_1, x_3 \rangle.$$

Using the  $k$ -vector space isomorphisms

$$\text{End}_k(T^{\leq s}(V)) \cong \bigoplus_{0 \leq u, v \leq s} \text{Hom}_k(\otimes^v V, \otimes^u V) \quad (8)$$

and

$$\text{Hom}_k(\otimes^v V, \otimes^u V) \cong (\otimes^u V) \otimes (\otimes^v V)^* \cong ((\otimes^u V^*) \otimes (\otimes^v V))^*, \quad (9)$$

we can now associate to each  $(u, v)$ -diagram  $D$  an endomorphism  $E(D)$  of  $T^{\leq s}(V)$  as follows. We take  $E(D)$  to be the endomorphism of  $T^{\leq s}(V)$  corresponding to  $F(D) \in ((\otimes^u V^*) \otimes (\otimes^v V))^*$ . Let  $(e_1, \dots, e_n)$  be the standard basis of  $V = k^n$ . Then we have a dual basis  $(e_1^*, \dots, e_n^*)$  and also bases of the vector spaces  $\otimes^r V$ . The matrix  $M(D)$  of  $E(D) \in \text{Hom}_k(\otimes^v V, \otimes^u V)$  with respect to these bases is then given by

$$M(D)_{(i_1, \dots, i_u), (j_1, \dots, j_v)} = F(D)(e_{i_1}^*, \dots, e_{i_u}^*, e_{j_1}, \dots, e_{j_v}). \quad (10)$$

The linear map  $E : \mathfrak{B}_{\leq s}(n) \rightarrow \text{End}_k(T^{\leq s}(V))$  given by the assignment  $D \mapsto E(D)$  is a homomorphism of algebras. We indicate a proof.

The isomorphism  $\varphi : V^* \rightarrow V$  with  $\langle \varphi(y), x \rangle = y(x)$  for all  $x \in V$  and  $y \in V^*$  maps  $e_i^*$  to  $\epsilon_{i'} e_{i'}$ . So we have

$$\langle e_i^*, e_j^* \rangle = \langle e_i, e_j \rangle = \epsilon_i \delta_{ij}.$$

Now one easily checks that

$$\sum_{l_2, \dots, l_p=1}^n \langle e_{l_1}, e_{l_2} \rangle \langle e_{l_2}, e_{l_3} \rangle \cdots \langle e_{l_p}, e_{l_{p+1}} \rangle = \begin{cases} (-1)^{p/2} \langle e_{l_1}^*, e_{l_{p+1}} \rangle & \text{if } p \text{ is even,} \\ (-1)^{(p-1)/2} \langle e_{l_1}, e_{l_{p+1}} \rangle & \text{if } p \text{ is odd.} \end{cases}$$

and that for  $p$  even

$$\sum_{l_1, \dots, l_p=1}^n \langle e_{l_1}, e_{l_2} \rangle \langle e_{l_2}, e_{l_3} \rangle \cdots \langle e_{l_{p-1}}, e_{l_p} \rangle \langle e_{l_p}, e_{l_1} \rangle = (-1)^{p/2} \cdot n.$$

If we evaluate an entry of the product  $M(D_{uv})M(D_{u'v'})$ ,  $v = u'$ , then this entry will be a sum over  $v$  indices corresponding to the vertices in the middle row of  $U$ . Here we use (7) and (10). Now we can distribute these  $v$  sums over the paths and cycles in  $U$ . Each path or cycle gets the sums corresponding to the vertices

of the middle row of  $U$  that it contains. Then we obtain a product of sums of the above two types where we have to swap the arguments of certain scalar products  $\langle e_{l_{i+1}}, e_{l_{i+2}} \rangle$  according to position of the corresponding vertices in the middle row of  $U$ . Here an extra sign  $(-1)^{pl_r}$  comes in. Now it follows that the entry of  $M(D_{uv})M(D_{u'v'})$  is equal to the corresponding entry of  $M(D_{uv}D_{u'v'})$ .

We now define some special elements of  $\mathfrak{B}_{\leq s}(t)$ .

$$c_r = \underbrace{\begin{array}{c} \bullet \\ \vdots \\ \bullet \end{array}}_{r \text{ vertices}}, \quad b_r = \begin{array}{c} \bullet \cdots \bullet \\ \overbrace{\bullet \cdots \bullet}^{r \text{ vertices}} \end{array} \quad \text{and} \quad \bar{b}_r = \begin{array}{c} \bullet \cdots \bullet \\ \overbrace{\bullet \cdots \bullet}^{r \text{ vertices}} \end{array}. \quad (11)$$

Here  $c_r$  is defined for  $0 \leq r \leq s$  and  $b_r$  and  $\bar{b}_r$  are defined for  $0 \leq r \leq s-2$ . The unit element of  $\mathfrak{B}_{\leq s}(t)$  is  $\sum_{r=0}^s c_r$ . The subspace  $c_r \mathfrak{B}_{\leq s}(t) c_r$  is the span of all  $(r, r)$ -diagrams and is closed under multiplication. It has  $c_r$  as a unit element and as an algebra it is isomorphic to the symplectic Brauer algebra  $\mathfrak{B}_r(t)$  which was introduced for  $t = n$  in [3]. We identify  $\mathfrak{B}_r(t)$  with this subspace of  $\mathfrak{B}_{\leq s}(t)$ . Then  $\bigoplus_{r=0}^s \mathfrak{B}_r(t)$  is identified with a subalgebra with unit of  $\mathfrak{B}_{\leq s}(t)$ . We obtain a natural embedding  $k\langle \text{Sym}_r \rangle \subseteq \mathfrak{B}_r(t)$  by assigning to each  $\pi \in \text{Sym}_r$  the  $(r, r)$ -diagram in which  $x_i$  is matched with  $y_{\pi_i}$ . The action of  $\text{Sym}_r$  on  $\otimes^r V$  that it inherits from  $\mathfrak{B}_r(n)$  is the same as the action mentioned in Section 1.

The algebra  $\mathfrak{B}_{\leq s}(t)$  is generated by the elements  $b_r, \bar{b}_r, 0 \leq r \leq s-2, c_0, c_1$  and the elements of each  $\mathfrak{B}_r(t)$ ,  $2 \leq r \leq s$ , that correspond to the elementary transpositions in  $\text{Sym}_r$ .

In the theorem below we will consider the representation of  $\mathfrak{B}_{\leq s}(n)$  on  $T^{\leq s}(V)$  as given by the homomorphism  $E$  defined above. First we make a preliminary observation. Let  $B \in \text{Hom}_k(V \otimes V, k) \subseteq \text{End}_k(T^{\leq s}(V))$  be the endomorphism that maps  $x_1 \otimes x_2$  to  $\langle x_1, x_2 \rangle$  and let  $\bar{B} \in \text{Hom}_k(k, V \otimes V) \subseteq \text{End}_k(T^{\leq s}(V))$  be the endomorphism that maps 1 to  $\sum_{i=1}^n \epsilon_i e_i \otimes e_{i'}$ . Then we have

$$E(b_r) = B \otimes \text{id}_{\otimes^r V} \quad \text{and} \quad E(\bar{b}_r) = \bar{B} \otimes \text{id}_{\otimes^r V}. \quad (12)$$

Note furthermore that  $E(c_r)$  is just the projection of  $T^{\leq s}(V)$  onto  $\otimes^r V$ .

**Theorem 3.** *The following holds.*

- (i)  $\text{End}_{\text{Sp}_n}(T^{\leq s}(V))$  coincides with the image of  $\mathfrak{B}_{\leq s}(n)$  in  $\text{End}_k(T^{\leq s}(V))$ .
- (ii)  $\text{End}_{\mathfrak{B}_{\leq s}(n)}(T^{\leq s}(V))$  is the enveloping algebra of  $\text{Sp}_n$  in  $\text{End}_k(T^{\leq s}(V))$ .
- (iii) If  $m \geq s$ , then the homomorphism  $\mathfrak{B}_{\leq s}(n) \rightarrow \text{End}_k(T^{\leq s}(V))$  is injective.
- (iv) If  $m < s$ , then the homomorphism  $\mathfrak{B}_s(n) \rightarrow \text{End}_k(\otimes^s V)$  is not injective.

*Proof.* (i). We follow Brauer's method as adjusted to our situation by Weyl, see [18, V.2, p 141-142]. There is a natural action of  $\text{Sp}_n$  on  $\text{End}_k(T^{\leq s}(V))$  and it is clear that  $\text{End}_{\text{Sp}_n}(T^{\leq s}(V))$  consists of the  $\text{Sp}_n$ -invariant elements of  $\text{End}_k(T^{\leq s}(V))$ . The vector spaces in (8) and (9) all have a natural  $\text{Sp}_n$ -action and the isomorphisms there are  $\text{Sp}_n$ -equivariant. It therefore suffices to show that for  $u, v \in \{1, \dots, s\}$ ,  $((\otimes^u V^*) \otimes (\otimes^v V))^* \text{Sp}_n$  is spanned by the multilinear functions  $F(D)$ , where  $D$  is a  $(u, v)$ -diagram and  $F$  is given by (7).

Let  $u, v \in \{1, \dots, s\}$  and put  $w = u + v$ . We will identify  $V^*$  with  $V$  by means of the isomorphism  $\varphi : V^* \xrightarrow{\sim} V$ . This means that the  $y_i$  are now vector

variables. We put  $z_i = y_i$  for  $i \in \{1, \dots, u\}$  and  $z_i = x_{i-u}$  for  $i \in \{u+1, \dots, w\}$ . Since  $k$  is infinite,  $k[\oplus^w V]$  can be identified with the polynomial ring in the components of the  $z_i$ . If  $f \in k[\oplus^w V]$  is  $\mathrm{Sp}_n$ -invariant, then it is also  $\mathrm{Sp}_n(K)$ -invariant as an element of  $K \otimes_k k[\oplus^w V]$ , since  $\mathrm{Sp}_n$  is dense in  $\mathrm{Sp}_n(K)$ . But then  $f$  is a formal invariant in the definition of [6, §2], see e.g. [13, Remark I.2.8]. We can now apply the first fundamental theorem of invariant theory for the symplectic group, [6, Thm. 6.6]. This gives us that  $k[\oplus^w V]^{\mathrm{Sp}_n}$  is generated as a  $k$ -algebra by the scalar products  $\langle z_i, z_j \rangle$ ,  $1 \leq i < j \leq w$ . It follows immediately that  $(\otimes^w V)^*{}^{\mathrm{Sp}_n}$  is spanned by the monomials in the  $\langle z_i, z_j \rangle$ ,  $1 \leq i < j \leq w$ , in which each  $z_i$  occurs exactly once. These monomials are precisely the  $F(D)$ , where  $D$  is a  $(u, v)$ -diagram.

(ii). We have  $k\langle \mathfrak{S} \rangle \subseteq \mathfrak{B}_{\leq s}(n)$ , where  $\mathfrak{S}$  is as in Proposition 1(iv). So, as in the proof of that result, we have that  $\mathrm{End}_{\mathfrak{B}_{\leq s}(n)}(T^{\leq s}(V))$  consists of  $(s+1)$ -tuples of bisymmetric substitutions. Using (12) one easily checks that the condition of commuting with  $E(b_r)$  is given by the equation (4) and that (5) gives the condition of commuting with  $E(\bar{b}_r)$ . By Theorem 1(iii) these are precisely the equations that define  $\mathcal{E}^{\leq s}(\mathrm{Sp}_n)$ .

The arguments in the proofs of (iii) and (iv) below are very similar to those for the orthogonal group in [18, p 149]. Recall that the *Pfaffian* of an alternating  $2r \times 2r$ -matrix  $A$  is defined by

$$\mathrm{Pf}(A) = \sum_{\pi} \mathrm{sgn}(\pi) \prod_{i=1}^r a_{\pi_{2i-1}, \pi_{2i}} , \quad (13)$$

where the sum is over all permutations  $\pi$  of  $\{1, 2, \dots, 2r\}$  with  $\pi_1 < \pi_3 < \dots < \pi_{2r-1}$  and  $\pi_{2i-1} < \pi_{2i}$  for all  $i \in \{1, \dots, r\}$ .

(iii). By the isomorphisms (8) and (9) it is sufficient to show that for each  $u, v \in 1, \dots, s$  with  $u \equiv v \pmod{2}$ , the multilinear functions  $F(D)$ ,  $D$  a  $(u, v)$ -diagram, are linearly independent. We use the identification  $V^* \cong V$  and the notation of (i). As we have seen in (i) the  $F(D)$  are the monomials in the  $\langle z_i, z_j \rangle$ ,  $1 \leq i < j \leq w$  in which each  $z_i$  occurs exactly once. The second fundamental theorem for the symplectic group, [6, Thm. 6.7], says that the ideal of relations between the  $\langle z_i, z_j \rangle$ ,  $1 \leq i < j \leq w$  is generated by the Pfaffians of the principal submatrices of size  $n+1$  of the  $w \times w$  alternating matrix  $\langle z_i, z_j \rangle_{1 \leq i, j \leq w}$ .<sup>6</sup> Since, by assumption,  $w \leq 2s \leq n$ , there are no such submatrices, that is, the  $\langle z_i, z_j \rangle$ ,  $1 \leq i < j \leq w$  are algebraically independent. This means that the monomials in the  $\langle z_i, z_j \rangle$ ,  $1 \leq i < j \leq w$  are linearly independent.

(iv). From (13) it is clear that  $\mathrm{Pf}(A)$  is a signed sum of distinct monomials in the  $a_{ij}$ ,  $1 \leq i < j \leq 2r$ , in which each number  $i \in \{1, 2, \dots, 2r\}$  occurs precisely once either as row or as column index. Since  $n < 2s$ , we must have that the alternating  $2s$ -multilinear map  $\mathrm{Pf}(\langle z_i, z_j \rangle_{1 \leq i, j \leq 2s})$  on  $\oplus^{2s} V$  is zero. This gives us a nontrivial dependence relation between the multilinear functions  $F(D)$ ,  $D$  an  $(s, s)$ -diagram.  $\square$

**Corollary.** *The algebra  $\mathfrak{B}_{\leq s}(t)$  is associative for any  $t \in k$ .*

---

<sup>6</sup>A principal submatrix is obtained by choosing rows and columns from the same index set.

*Proof.* First we observe that we can define the Brauer algebra over any commutative ring  $R$  and then we have  $\mathfrak{B}_{\leq s}(R, t_0) \cong R \otimes_{\mathbb{Z}[t]} \mathfrak{B}_{\leq s}(\mathbb{Z}[t], t)$  for all  $t_0 \in R$ , where we now consider  $t$  as an indeterminate and the homomorphism  $\mathbb{Z}[t] \rightarrow R$  is given by  $t \mapsto t_0$ . So it suffices to show that  $\mathfrak{B}_{\leq s}(\mathbb{Z}[t], t)$  is associative. If  $D, D', D'' \in \mathfrak{B}_{\leq s}(\mathbb{Z}[t], t)$ , then the coefficients of  $(DD')D'' - D(D'D'')$  with respect to the diagram basis are polynomials in  $t$ . By Theorem 3(iii) applied with  $k = \mathbb{Q}$ , these polynomials vanish whenever we specialise  $t$  to an even integer  $\geq 2s$ . It follows that these polynomials are identically zero.  $\square$

**Remarks 3.** 1. One can also derive the double centraliser theorem for  $\mathrm{Sp}_n$  acting on  $\otimes^s V$  as obtained in [9, Prop. 1.3 and Thm 1.4] by our method. Simply combine Remark 2.2 and the arguments of the proof of Theorem 3.

2. Drop the assumption that  $n$  is even and assume that the characteristic of  $k$  is not 2. Orthogonal versions  $\mathfrak{A}_{\leq s}(t)$  and  $\mathfrak{A}_s(t)$  of  $\mathfrak{B}_{\leq s}(t)$  and  $\mathfrak{B}_s(t)$  can also be defined. One just has to omit the sign in the definition of the multiplication. The algebra  $\mathfrak{A}_s(t)$  coincides with the orthogonal Brauer algebra defined in [12]. Furthermore the algebras  $\mathfrak{A}_{\leq s}(n)$  and  $\mathfrak{A}_s(n)$  have natural representations in  $T^{\leq s}(V)$  and  $\otimes^s V$ .

Let  $I$  be the orthogonal ideal. In characteristic zero homogeneous generators for  $I_{hom}$  are given in [8, Thm. 10.5a)] and generators for  $I$  with property (1) are given in [18, Thm. 5.2.C]. The Corollary to Proposition 1 and the proof of Theorem 3 show that, to prove the double centraliser theorem over  $k$  for the orthogonal group acting on  $\otimes^s V$  and  $T^{\leq s}(V)$ , it is enough to show that these elements are also generators of  $I_{hom}$  and generators for  $I$  with property (1) over  $k$ .

3. Assume that  $k = \mathbb{C}$ . Then it can be shown by easy invariant theoretic arguments as in the proof of Theorem 3(iii) and (iv) that the natural representation  $\mathfrak{A}_s(n) \rightarrow \mathrm{End}_k(\otimes^s V)$  is injective if  $n \geq 2s$  and not injective if  $n < s$ ; see [18, p 149]. So, contrary to the symplectic case, these arguments do not give a complete criterion for faithfulness. In [4] it is proved that the natural representation of  $\mathfrak{A}_s(n)$  is faithful if and only if  $n \geq s$ .

4. From [15, Thm.'s 2.11, 4.6, 4.3] it follows that  $\mathfrak{A}_s(t)$  has the well-known presentation given in e.g. [9, p 3]. From this one easily deduces a presentation for  $\mathfrak{B}_s(t)$  and an isomorphism  $\mathfrak{B}_s(t) \cong \mathfrak{A}_s(-t)$ . This is in accordance with the results in [12] and [17].

*Acknowledgement.* I would like to thank S. Oehms for his comments on a preliminary version of this paper and A. M. Cohen for bringing [15] to my attention. This research was funded by the EPSRC Grant EP/C542150/1.

## REFERENCES

- [1] A. Berele, *Construction of Sp-modules by tableaux*, Linear and Multilinear Algebra **19** (1986), no. 4, 299-307.
- [2] N. Bourbaki, *Commutative algebra*, Chapters 1-7, Translated from the French, Reprint of the 1972 edition, Springer, Berlin, 1989.
- [3] R. Brauer *On algebras which are connected with the semisimple continuous groups*, Ann. of Math. (2) **38** (1937), no. 4, 857-872.
- [4] W. P. Brown, *The semisimplicity of  $\omega_f^n$* , Ann. of Math. (2) **63** (1956), 324-335.
- [5] C. De Concini, *Symplectic standard tableaux*, Adv. in Math. **34** (1979), no. 1, 1-27.

- [6] C. De Concini, C. Procesi, *A characteristic free approach to invariant theory*, Advances in Math. **21** (1976), no. 3, 330-354.
- [7] S. Donkin, *Representations of symplectic groups and the symplectic tableaux of R. C. King*, Linear and Multilinear Algebra **29** (1991), no. 2, 113-124.
- [8] S. Doty, *Polynomial representations, algebraic monoids, and Schur algebras of classical type*, J. Pure Appl. Algebra **123** (1998), no. 1-3, 165-199.
- [9] R. Dipper, S. Doty, J. Hu, *Brauer algebras, symplectic Schur algebras and Schur-Weyl duality*, to appear in Trans. Amer. Math. Soc.
- [10] D. Eisenbud, *Commutative Algebra with a View Toward Algebraic Geometry*, Graduate Texts in Math., vol. 150, Springer, New York, 1995.
- [11] J. A. Green, *Polynomial representations of  $GL_n$* , Lecture Notes in Mathematics, **830**, Springer-Verlag, Berlin-New York, 1980.
- [12] P. Hanlon, D. Wales, *On the decomposition of Brauer's centralizer algebras*, J. Algebra **121** (1989), no. 2, 409-445.
- [13] J. C. Jantzen, *Representations of algebraic groups*, Pure and Applied Math., vol. 131. Academic Press, Boston, 1987.
- [14] S. Oehms, *Centralizer coalgebras, FRT-construction, and symplectic monoids*, J. Algebra **244** (2001), no. 1, 19-44.
- [15] H. R. Morton, A. J. Wasserman, *A basis for the Birman-Wenzl Algebra*, preprint, 1989, <http://citeseer.ist.psu.edu/313132.html>.
- [16] R. H. Tange, *Infinitesimal invariants in a function algebra*, to appear.
- [17] H. Wenzl, *On the structure of Brauer's centralizer algebras*, Ann. of Math. (2) **128** (1988), no. 1, 173-193.
- [18] H. Weyl, *The classical groups, Their invariants and representations*, second edition, Princeton University Press, 1946.

SCHOOL OF MATHEMATICS, UNIVERSITY OF SOUTHAMPTON, HIGHFIELD, SO17 1BJ, UK  
 E-mail address: [rtange@maths.soton.ac.uk](mailto:rtange@maths.soton.ac.uk)